



ATTORNET DOCKET NO: 0550862-0015F

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Neil Hickey

Examiner:

Serial No.: 09/815,806

Art Unit:

Filing Date: March 23, 2001

Title: SYSTEM FOR AND METHOD OF PROVIDING INTERFACES TO  
EXISTING COMPUTER APPLICATIONS

**RECEIVED**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC 20231

OCT 17 2001

Technology Center 2600

Sir:

**STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE**

**UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

(Select A, B or C below)

A. ☒ This Information Disclosure Statement has been filed:

(check 1, 2 and/or 3 below)

1. ☐ within three months of the filing date of the above identified U.S. Patent application;
2. ☐ within three months of the filing date of the entry of the National Stage, as set forth in 37 C.F.R. §1.491, in an International application; and/or
3. ☒ before the mailing date of the first Office Action on the merits in the above-identified application.

No fee or certification is required.

- B. ☐ This Information Disclosure Statement has been filed more than three months after the filing date of the present application and after the mailing date of this first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311.  
(check 1 or 2 below)
1. ☐ The fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed; or
2. ☐ Applicants hereby certify, as specified in 37 C.F.R. §1.97(e), that  
(check a or b below)
- a. ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application not more than three months prior to the filing of this Statement; or
- b. ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement.
- c. ☐ items indicated by an asterisk were identified in a recent review of related files.
- C. ☐ This Information Disclosure Statement has been filed after the mailing date of either a Final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311 and before payment of an Issue Fee.  
(check 1, 2, and 3 below)
1. ☐ The Applicant hereby certifies, as specified in 37 C.F.R. §1.97(e), that:  
(check a or b below)
- a. ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent

Office in a counterpart for this application not more than three months prior to the filing of this Statement.

- b. ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Statement.
2. ☐ A Petition requesting consideration of the Information Disclosure Statement is attached.
3. ☐ The Petition Fee of \$130 as set forth in 37 C.F.R. §1.17(i)(1) is enclosed.

PART II - Information Cited

- A. ☒ The Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.
- B. ☐ The Applicant hereby makes the following additional information of record in the above-identified application:

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

- A. ☐ The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):
- B. ☐ The following are remarks concerning the other information cited:

## PART IV: Remarks

- A. ☒ Copies of references  
(check 1 or 2 below)
1. ☒ A copy of each of the references cited on the attached form PTO-1449  
(modified) is enclosed;
2. ☐ Copies of certain of the references cited on the attached form PTO-1449  
(modified) are not enclosed because each of these references (indicated by  
asterisk) was previously cited by or submitted to the Office in a prior  
application, which prior application is relied upon for an earlier filing date  
under 35 U.S.C. § 120.
- B. ☒ It is respectfully requested that:  
(check 1, 2, and 3 below)
1. ☒ The Examiner consider completely the cited information, along with any  
other information, in reaching a determination concerning the patentability  
of the present claims;
2. ☒ The enclosed form PTO-1449 be signed by the Examiner to evidence that  
the cited patent(s) and publication(s) has (have) been fully considered by  
the Patent and Trademark Office during the examination of this  
application;
3. ☒ The citations for the patent(s) and publication(s) be printed on any patent  
which issues from this application.
- C. ☒ By submitting this Information Disclosure Statement, Applicants make no  
representation that a search has been performed, of the extent of any search  
performed, or that more material information may not exist.

- D. [X] By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).
- E. [X] By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.
- F. [X] Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

An early and favorable action is hereby requested.

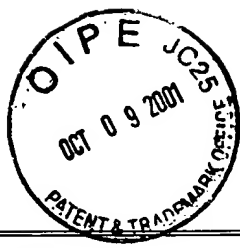
Please charge any additional fees or credit any overpayments to our Deposit Account No. 03-1721.

Respectfully submitted,

By: John A. Hamilton  
John A. Hamilton  
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Dated: Oct 4, 2001  
3310835

I hereby certify that this correspondence is being deposited with the United States Postal service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231  
on Oct 4, 2001  
[Signature]



Form PTO-1449  
(REV. 8-83)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket:  
0550862-0015f

In re Application No.  
09/815,806

**INFORMATION DISCLOSURE STATEMENT**

*(Use several sheets if necessary)*

Applicant: Hickey, N.

Filing Date:  
March 23, 2001

Group:

**U.S. PATENT DOCUMENTS**

Examiner's Initials	U.S. Patent No.	Applicant	Issue Date	Class	Subclass
	5,133,011	McKiel Jr.	July 21, 1992	381	43
	5,583,965	Douma et al.	December 10, 1996	395	2.84
	5,664,206	Murow et al.	September 2, 1997	704	8
	5,724,355	Bruno et al.	March 3, 1998	370	401
	5,974,372	Barnes et al.,	October 26, 1999	704	8
	6,035,121	Chiu et al.,	March 7, 2000	395	705
	6,064,382	Diedrich et al.,	May 16, 2000	345	335
	6,092,036	Hamann	July 18, 2000	704	8
	6,094,635	Scholz et al.,	July 25, 2000	704	270

**U.S. PATENT APPLICATIONS**

Examiner's Initials:	Serial Number:	Applicant:	Filing Date:	Group:	Art Unit:

**FOREIGN PATENT DOCUMENTS**

Examiner's Initials	Document No.	Country	Date	Translation	
				Yes	No

**OTHER DOCUMENTS**

Examiner's Initials	Citation (Including Author, Title, Date, Pertinent Pages, Etc.)

3310819